

STATE OF NORTH CAROLINA **FILED** IN THE GENERAL COURT OF JUSTICE  
 WAKE COUNTY 2015 MAR -6 AM 11:06 SUPERIOR COURT DIVISION  
 FILE NO.: 15 CVS

THE NORTH CAROLINA STATE BAR, WAKE COUNTY, C.S.C.

Petitioner

CONSENT ORDER OF  
 PRELIMINARY INJUNCTION

v.

DAIVA S. BULLUCK, Attorney,

Respondent

THIS MATTER came on for consideration by the undersigned Judge of the Superior Court of Wake County on motion of Petitioner, the North Carolina State Bar. Petitioner was represented by Jennifer A. Porter. Respondent, Daiva S. Bulluck, appeared *pro se*. Based upon the consent of the parties, the Court makes the following:

#### FINDINGS OF FACT

1. Petitioner, the North Carolina State Bar ("State Bar"), is a state agency duly organized under the laws of North Carolina and is the proper party to bring this proceeding under the authority granted it in Chapter 84 of the General Statutes of North Carolina, and the Rules and Regulations of the North Carolina State Bar (Chapter 1 of Title 27 of the North Carolina Administrative Code). The State Bar brings this action pursuant to N.C. Gen. Stat. § 84-28(f).

1. Respondent, Daiva S. Bulluck ("Bulluck"), was licensed to practice law in North Carolina in 2008.

2. Respondent's address is 229 Valley View Drive, Jefferson, NC 28640.

3. The State Bar's examination of the trust account previously maintained by Bulluck and her former law partner shows Bulluck and her former law partner failed to comply with North Carolina Rules of Professional Conduct 1.15-2 and 1.15-3 regarding handling entrusted funds and trust account management, resulting in mishandling of entrusted funds.

4. Bulluck desires to cooperate with the North Carolina State Bar.

5. A need for prompt action exists to ensure that further entrusted funds are not mishandled in the future.

6. Bulluck stipulates to the Wake County Superior Court's jurisdiction over her person, acknowledges the Court's subject matter jurisdiction pursuant to N.C. Gen.

Stat. § 84-28(f), and waives any right to challenge or appeal this order on jurisdictional grounds.

BASED UPON THE FOREGOING FINDINGS, and with the consent of the parties, the Court makes the following:

#### CONCLUSIONS OF LAW

1. This Court has personal jurisdiction over Respondent and jurisdiction over the subject matter. This matter is properly before the Wake County Superior Court pursuant to N.C. Gen. Stat. § 84-28(f).

2. Prompt action, pursuant to N.C. Gen. Stat. 84-28(f), is necessary to preserve the status quo while the State Bar conducts an analysis of Bulluck's trust accounts and any other accounts into which client or fiduciary funds have been deposited, including, if applicable, operating and personal bank accounts, and to ensure that no further client funds are mishandled.

3. Bulluck should be enjoined from accepting any further funds from or on behalf of clients or other individuals in a fiduciary capacity, from writing checks against or withdrawing funds from any account in which client or fiduciary funds have been deposited, and from directing any employee or agent to write a check against or withdraw funds from any account in which client or fiduciary funds have been deposited, until and unless expressly permitted by subsequent orders of the Court.

4. To assist the State Bar's analysis of her accounts, Bulluck should provide the State Bar with records of all accounts in which client or fiduciary funds have been deposited and with all client files requested by the State Bar as set forth below.

5. To assist the State Bar's analysis of her trust accounts, Bulluck should provide the State Bar with the reconciliation reports required to be prepared and maintained pursuant to Rule 1.15-3(d) of the Rules of Professional Conduct.

6. Bulluck should be prohibited from serving as a trustee, escrow agent, settlement agent, attorney-in-fact, executor, personal representative or in any other fiduciary capacity.

#### THEREFORE, IT IS HEREBY ORDERED:

1. Daiva S. Bulluck is enjoined from accepting any further funds from clients or third parties in a fiduciary capacity, from withdrawing any funds from and/or writing any checks against any account in which client or fiduciary funds have been deposited, and from directing any employee or agent to withdraw funds from and/or write a check against any account in which client or fiduciary funds have been deposited until permitted by subsequent orders of the Court.

2. Bulluck, or any other person having custody or control of records relating to any account into which client or fiduciary funds have been deposited, shall immediately produce to the North Carolina State Bar at its Raleigh, North Carolina location for inspection and copying all financial records relating to any account into which client or fiduciary funds have been deposited, such accounts to include her firm's operating account(s) and such records to include but not limited to bank statements, canceled checks, deposit slips, client ledger cards, check stubs, deposited items and debit memos, court orders causing or otherwise related to any withdrawals or disbursements, and any other records relating to the receipt and disbursement of client and/or fiduciary funds, as requested by the State Bar.

3. Bulluck, or any other person having custody or control over records relating to individuals for whom Bulluck has provided legal services, shall produce to the North Carolina State Bar at its Raleigh, North Carolina location for inspection and copying all records and documents including but not limited to client files, settlement statements, HUD-1 Settlement Statements, billing statements, fee agreements, memoranda and receipts. Current client files shall be produced within 24 hours of demand by the State Bar and closed client files shall be produced within 3 days of demand by the State Bar.

4. If Bulluck does not have possession of the records described above or of the minimum records required to be maintained regarding trust and fiduciary funds pursuant to Rule 1.15-3 of the Rules of Professional Conduct, she shall direct the bank(s) where her trust and operating bank account(s) are maintained, within 10 days of the date of this order, to copy and transmit any such missing records directly to the North Carolina State Bar at its Raleigh, North Carolina location, at Bulluck's expense.

5. Bulluck shall immediately produce to the North Carolina State Bar at its Raleigh, North Carolina location, as requested by the State Bar, the monthly and quarterly reconciliation reports for any and all trust accounts that she is required to produce and maintain pursuant to Rule 1.15-3(d) of the Rules of Professional Conduct. If Bulluck has not prepared such reports as required, Bulluck is hereby ordered to conduct the monthly and quarterly reconciliations required by Rule 1.15-3(d) of the Rules of Professional Conduct for the time period required under the Rules of Professional Conduct, or a lesser period if so specified by the State Bar, and produce the reports to the State Bar as needed and upon request of the State Bar.

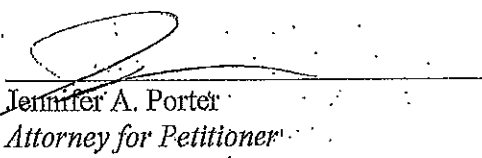
6. Bulluck is hereby enjoined from serving as an attorney-in-fact, escrow agent, settlement agent, trustee, executor, personal representative or in any other fiduciary capacity.

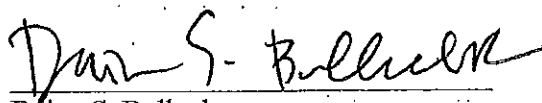
7. This Consent Order of Preliminary Injunction shall remain in effect until further order of this Court.

THIS the 6<sup>th</sup> day of March 2015.

  
Superior Court Judge Presiding

WE CONSENT:

  
Jennifer A. Porter  
*Attorney for Petitioner*

  
Daiva S. Bulluck  
*Respondent*